

FORM PTO-1082



06-29-03

81870.0009  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yutaka KUBA

Serial No: Not assigned

Filed: June 28, 2000

For: OPTICAL MODULE AND CONNECTING  
CONSTRUCTION FOR OPTICAL MODULEBox PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application identified above.


- ☒ 6 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.
- ☒ 29 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- ☒ An assignment of the invention to KYOCERA CORPORATION ☒ is enclosed ☐ will follow.
- ☐ An associate power of attorney ☐ is enclosed ☐ will follow.
- ☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 & 1.27 is enclosed.
- ☒ Declaration and Power of Attorney ☒ is enclosed ☐ will follow.
- ☒ A certified copy of Japanese Patent Application No. 11-183264 filed June 29, 1999 from which priority is claimed under 35 U.S.C. § 119 is enclosed.
- ☐ IDS enclosed (☐ with references).
- ☐ Preliminary Amendment is enclosed.

CALCULATION OF FEES							
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ FEE
A	TOTAL CLAIMS FEE	17	-20	0	LG=\$18 SM=\$9	\$18	0
B	INDEPENDENT CLAIMS FEE*	3	-3	0	LG=\$78 SM=\$39	\$78	0
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						\$ 0
D	MULTIPLE-DEPENDENT CLAIMS FEE						LARGE ENTITY FEE = \$260 SMALL ENTITY FEE = \$130
E	BASIC FEE						LARGE ENTITY FEE = \$690 SMALL ENTITY FEE = \$345
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)						\$ 690
G	ASSIGNMENT RECORDING FEE						\$ 40
	*LIST INDEPENDENT CLAIMS 1, 5, 7.						\$ 40

"Continued on Second Page"

- ☒ A check in the amount of \$ 690 to cover the filing fee is enclosed.
- ☒ A check in the amount of \$ 40 to cover Assignment Recordation fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**
- ☒ Any additional filing fees required under 37 C.F.R. § 1.16
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17
- Please associate this application with the attorneys of record and with the correspondence address recorded for Customer No. 22335.

Respectfully submitted,  
HOGAN & HARTSON LLP.

By:   
Louis A. Mok  
Registration No. 22,585  
Attorney for Applicant(s)

Date: June 28, 2000

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06.29-2

PATENT

Attorney Docket No: 81870.0009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yutaka KUBA

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For: OPTICAL MODULE AND CONNECTING  
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Art Unit: Not assigned

Examiner: Not assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL  
"Express Mail" Mailing Label No. EL 539 009 905 US  
Date of Deposit: June 28, 2000

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ check in amount of \$ 690 as filing fee
- ☒ patent application ( 29 page(s) of specification; 17 claim(s); 1 page(s) of abstract
- ☒ 6 sheet(s) of formal drawings
- ☒ executed Declaration and Power of Attorney
- ☒ assignment of the invention to KYOCERA CORPORATION
- ☒ certified copy of Japanese patent application No. 11-183264 which was filed June 29, 1999 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231.

Date: June 29, 2000

500 South Grand Avenue, Suite 1900  
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Francisco Sandoval

Name of person mailing papers

*F. Sandoval*

Signature